

Preliminary validity study comparing the prone lumbar hypermobility test against the prone instability test*

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Abstract:

Objective:

The Prone Instability Test (PIT) is an established orthopaedic test that predicts the probability of low back pain patients responding positively to a spinal stabilization program (.71 sensitivity, .57 specificity for PIT). This preliminary study suggests the Prone Lumbar Hypermobility Test (PLHT) as an effective alternative to the PIT that is more suitable for a wider population due to the modified patient positioning. In contrast to the PIT, the PLHT has the patient's entire body supported by the examination table. This is hypothesized to maximize patient comfort while still maintaining clinical effectiveness for the chronic low back pain population. The purpose of this preliminary study is to determine whether the PLHT is comparable to the PIT in diagnostic effectiveness when predicting the benefits of stabilization interventions.

Methods:

To compare the clinical effectiveness of the PLHT to the PIT, each subject underwent parts I and II (relaxed and contracted) of each test (PIT and PLHT). 36 subjects received both parts of PIT and PLHT (in a randomized order).

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Subjects assumed each of the four positions and 4 kg/cm² of pressure was applied directly on the skin over the L4 spinous process, using an algometer. The subjects verbally indicated perceived pain following each of the 4 positions.

Results:

Of the 36 participants included in the study, 23 participants had a negative PIT and a negative PLHT and six had a positive PIT and a positive PLHT. Three participants had a positive PIT and negative PLHT and four had a positive PLHT and negative PIT. This indicates that the PIT and PLHT have a statistically significant level of agreement.

Conclusions:

This study found that the PLHT is valid in identifying negative results in the predicted negative population, as well as positive results in the predicted positive population. For future investigations, a larger sample size is advantageous - particularly with an evenly distributed and accurate sample of positive and negative participants. This will more accurately determine the validity of the PLHT and broaden the application of the PLHT to the population for which the test is aimed to identify in clinical practice.

INTRODUCTION

Low back pain (LBP) is one of the most prevalent conditions reported and accounts for a significant number of health care visits. Only annual physical exams, hypertension, and diabetes account for more visits than low back pain¹. The 2002 National Health Interview Survey (NHIS) published data reporting the increasing prevalence of low back pain². Back Pain ranked 6th in overall disease burden, resulting in 83 million disability adjusted life years equal to premature mortality and years lived with disability³. The more recent publications in 2017 Lancet⁴ and 2018 European Spine Journal⁵ rated LBP as the number one reason for disability on a global scale.

An intention of LBP assessment is for the clinician to determine the best course of treatment. One such method is the categorization of patients into subgroups, one subgroup being lumbar segmental hypermobility. Treatment results show significant improvements when using patient categorizations^{6,7}.

The purpose of this study is to conduct a preliminary investigation into whether the novel, Prone Lumbar Hypermobility Test (PLHT) is clinically comparable to the Prone Instability Test.

METHODS AND PROCEDURES

Study Design

The Prone Instability Test (PIT) is an established orthopaedic test that predicts the probability of a low back pain patient responding positively to a spinal stabilization interventions⁶. In the PIT, the patient lies prone with the top half of their body on the examination table and their lower limbs hanging over the edge, feet resting on the ground (Figure 1). While the patient is in this relaxed position, the examiner applies posterior to anterior (PA) pressure to a lumbar spinous process. Any pain provocation during the first part of the test is reported. The second part of this test requires the patient to lift their lower limbs off the floor, through contraction of trunk musculature (Figure 2).

While the patient is in this contracted position, the examiner applies PA pressure on the same spinous process. Again, any pain provocation during the second part of the test is reported. The PIT is considered positive if there is pain in the resting position (part I) and reduced pain in the contracted position (part II), suggesting lumbo-pelvic hypermobility.

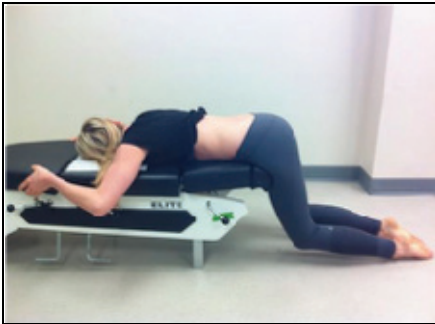


Figure 1: PIT Part I.



Figure 2: PIT Part II.

In this preliminary study, we suggest the PLHT as an effective alternative to the PIT. In the PLHT, the patient lies in a relaxed, prone position on an examination table with the entire body supported by the table (Figure 3). The lower limbs do not overhang the edge of the table in the PLHT. Similar to the PIT, part I of PLHT requires the examiner to apply PA pressure on a spinous process and pain provocation is recorded. Part II of the PLHT involves the contraction of trunk musculature by the patient actively lifting their lower limbs and shoulders off the examination table (Figure 4). The patient is also advised to brace by contracting the external obliques if they are familiar with this activity.

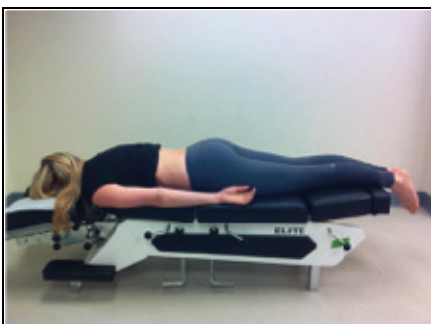


Figure 3: PLHT Part I

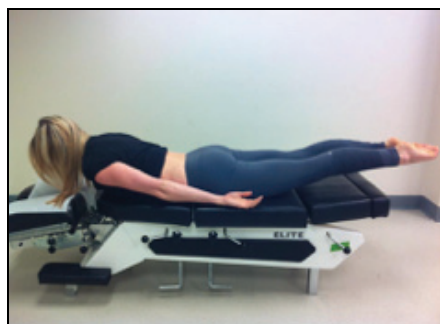


Figure 4: PLHT Part II

In order to compare the clinical effectiveness of the PLHT to the PIT, each subject underwent parts I and II (relaxed and contracted) of each test (PIT and

PLHT). Part I of each test was administered consecutively, then part II of each test was administered consecutively. Although part I was always administered before part II, the order of which test (PIT or PLHT) was performed first was randomized for each subject. This was done to eliminate bias that may occur when comparing pain provocation between tests due to pain exacerbation from the previous test. Subjects were randomly allocated to one of four testing protocols (Table 1).

Table 1: Protocol Randomization.

Group #	Testing Protocol
1	PIT part I, PLHT part I, PIT part II, PLHT part II
2	PIT part I, PLHT part I, PLHT part II, PIT part II
3	PLHT part I, PIT part I, PLHT part II, PIT part II
4	PLHT part I, PIT part I, PIT part II, PLHT part II

The test administrators could not be blinded because the clinician was required to know which specific protocol to perform in order to administer the tests properly. To minimize bias, the clinician was advised not to speak with the subjects prior to, during, and after the assessment. The clinicians were not given any information regarding the study, other than how to landmark the appropriate structures (L4 spinous process) and administer the tests. In order to generate a broader sample selection, three clinicians were used instead of just one.

The subjects could not be blinded because they had to be familiar with the procedures and the testing protocol prior to administration. The student investigators instructed the subjects throughout the procedures and collected the data. The subjects rated the pain provocation as “pain” or “no pain” for part I and again for part II; if there was pain with part I, the subject was asked to rate the pain provocation of part II as “the same pain”, “more pain”, or “less pain” when compared to the part I position. The pain ratings were documented for all four positions and used for comparison in order to determine the level of agreement between PIT and PLHT.

Sample Specification

The study population targeted two different categories of subjects: predicted positive (predicted to have a positive PIT/PLHT) and predicted negative (predicted

to have a negative PIT/PLHT). The study requires 40 subjects: 20 predicted positive and 20 predicted negatives. Participant categorization, as well as inclusion/exclusion criteria, was screened by the lead author. Predicted positive subjects were predicted on the basis of: i) recurrent low back pain, ii) pain that is aggravated by previous manual therapy interventions, and/or iii) pain that is mechanically aggravated by movement of the lumbar spine. Predicted negative subjects were predicted on the basis of: i) no low back pain for the last 3 months, and/or ii) a presenting complaint in the cervical or upper thoracic regions.

Exclusion Criteria

Participants were excluded if they reported any of the following: age not between 16-60 years, acute injury, cannot lie prone for minimum of 10 minutes, pain that does not change with movement or provocation, numbness and tingling or radiculopathy extending below the knee, severe constant pain that wakes them up at night, prior back surgery, infection, scoliosis, ankylosing spondylitis, pregnant, lactating, and/or post-partum females (up to a year), skin conditions (lesions, bruising, swelling) in test region, systemic diseases (such as acute cardiovascular disease, aortic aneurysms, cancer, kidney disease), using medications (such as statins, steroids, anti-coagulants, opiates, pain medications, topical creams) on the region tested.

Description of Experimental Manoeuvres

The student investigators explained the procedures of the study and demonstrated the relaxed and contracted positions to each subject before the test administrator entered the room. The subjects started by laying prone on the examination table for two minutes prior to testing. The subjects assumed each of the four positions and 4 kg/cm² of pressure (equivalent to the pressure required for finger blanching) was applied directly on the skin over the L4 spinous process, using an algometer⁸. Pressure was applied approximately 1 kg/cm²/second and held 4 seconds in each position. Subjects verbally rated the level of discomfort by stating “pain” or “no pain”. At any point during the study, the participants had the ability to stop the pressure with a verbal cue (e.g., 'stop') if it became too great. Only one of the subjects stopped the procedure due to pain, and this subject was excluded from the study.

Recruitment Process and Compensation

Subjects were recruited from existing patients at a private clinic. Subjects did not receive any payments or compensation for participation in this study.

Allocation and Minimization of Bias

The clinicians administering the tests were trained by the lead author and given a clear guideline of what is expected from them. The clinicians were not told the purpose of the study - only that they are to administer the two tests (PLHT and PIT). Further, the examiners did not speak with the subjects before, during, or after the assessment. Results were recorded by the student investigators using a standardized form. An online randomizer was used to assign the order of each patient's test protocol. The randomized order was applied to organize the data collection forms. Each individual form was placed in a sealed envelope and was not opened until the time of testing. Only the lead author had knowledge of which patients belonged to which category (predicted positive or predicted negative) and he was not directly involved in the data collection process. No individual involved in the study simultaneously had access to all the information required to link personal information to randomization number. This information was only accessible by research personnel once data collection had been completed.

Description of Outcome Measurement

The outcome measure for this study was verbalized pain ratings from patients. The subjects rated the pain provocation as "pain" or "no pain" for part I and again for part II; if there was pain with part I, the subject was asked to rate the pain provocation of part II as "the same pain", "more pain", or "less pain" when compared to the part I position.

Analysis and Justification of Sample Size

This is a preliminary study to justify future research on this topic. According to Sackett & Haynes (2002), in the development of a diagnostic test, phase I studies use extreme subjects on the attribute that is being measured¹⁰. Therefore, the original sample size targeted 20 predicted positive subjects and 20 predicted negative subjects, for a total of 40 subjects. According to Tractenberg et al (2010), a sample size of 25-42 is required to estimate Kappa statistics with a reasonable level of precision¹¹. There were 37 subjects recruited for the study. Agreement between PLHT and PIT for Part I, Part II and overall was assessed by constructing two-by-two tables on whether pain was experienced for each and examining percentage agreement and percent agreement adjusted for chance using the Kappa statistic and 95% Confidence Intervals. Kappa values were interpreted using a cut-off suggested by Streiner and Norman (2008)¹² - that is anything less than 0.60 is inadequate and "even 0.75 is pushing the lower limit".

RESULTS

Of the 37 participants recruited for the study, one participant was excluded due to significant provocation of pain (greater than 6/10) while getting into the relaxed position of the PIT. We did not continue the rest of the testing due to the level of discomfort.

Of the 36 participants included in the study, 23 participants had both a negative PIT and a negative PLHT and six had both a positive PIT and a positive PLHT. Three participants had a positive PIT and negative PLHT and four had a positive PLHT and negative PIT (Table 2). This indicates that the Prone Instability Test and Prone Lumbar Hypermobility Test have an overall percent agreement of 81% with a Kappa statistic of $\kappa=0.50$ and 95%CI (0.18,0.82) (Table 3). However, it is important to recall the meaning of a positive PIT result. The overall PIT result is dependent on both part I and part II of the test. The PIT is only considered positive if there is pain in the relaxed position (part I) and then reduced pain in the contracted position (part II). Therefore, it is necessary to assess the percent agreement of each individual part. When comparing part I of each test, 18 of the 36 participants had no pain with both PIT and PLHT and 12 had pain with both PIT and PLHT (Table 2). Three participants had pain with PIT but not PLHT and three had pain with PLHT but not PIT (Table 2). This indicates an overall percent agreement of 83% for part I of the tests with $\kappa=0.66$ and 95%CI (0.41,0.91). (Table 4). When comparing part II of the tests, 27 of the 36 participants had no pain with both PIT and PLHT and five had a pain with both PIT and PLHT (Table 2). Two participants had pain with PLHT but not PIT and two participants had pain with PIT but not PLHT. This indicates an overall percent agreement of 89% with $\kappa=0.65$ and 95%CI (0.33,0.96) for part II of the tests (Table 5).

Table 2: Collected Data

Participant #	Category	Part I		Part II		Overall Test Result	
		PIT	PLHT	PIT	PLHT	PIT	PLHT
1	EN	no pain	no pain	no pain	no pain	-	-
2	EN	pain	no pain	no pain	no pain	+	-
3	EN	pain	no pain	pain	pain	-	-
4	EN	no pain	no pain	no pain	no pain	-	-

Participant #	Category	Part I		Part II		Overall Test Result	
		PIT	PLHT	PIT	PLHT	PIT	PLHT
5	EP	no pain	no pain	no pain	no pain	-	-
6	EN	no pain	no pain	no pain	no pain	-	-
7	EN	no pain	no pain	no pain	no pain	-	-
8	EN	N/A	N/A	N/A	N/A	N/A	N/A
9	EN	no pain	no pain	no pain	no pain	-	-
10	EN	no pain	no pain	no pain	pain	-	-
11	EN	no pain	no pain	no pain	no pain	-	-
12	EN	no pain	no pain	no pain	no pain	-	-
13	EP	pain	pain	no pain	no pain	+	+
14	EN	no pain	no pain	no pain	no pain	-	-
15	EN	pain	no pain	no pain	no pain	+	-
16	EN	no pain	pain	no pain	no pain	-	+
17	EN	no pain	no pain	no pain	no pain	-	-
18	EP	pain	pain	no pain	no pain	+	+
19	EN	no pain	pain	no pain	no pain	-	+
20	EN	no pain	no pain	no pain	no pain	-	-
21	EN	no pain	no pain	no pain	no pain	-	-
22	EP	pain	pain	no pain	no pain	+	+
23	EP	pain	pain	no pain	pain	+	-
24	EP	no pain	no pain	pain	no pain	-	-
25	EP	pain	pain	no pain	no pain	+	+
26	EP	pain	pain	pain	pain	-	-
27	EP	no pain	no pain	no pain	no pain	-	-

Participant #	Category	Part I		Part II		Overall Test Result	
		PIT	PLHT	PIT	PLHT	PIT	PLHT
28	EP	pain	pain	pain	no pain	-	+
29	EP	pain	pain	no pain	no pain	+	+
30	EP	no pain	pain	no pain	no pain	-	+
31	EP	no pain	no pain	no pain	no pain	-	-
32	EP	pain	pain	pain	pain	-	-
33	EP	pain	pain	no pain	no pain	+	+
34	EP	no pain	no pain	no pain	no pain	-	-
35	EP	no pain	no pain	no pain	no pain	-	-
36	EP	pain	pain	pain	pain	-	-
37	EP	pain	pain	pain	pain	-	-

EN: predicted negative

EP: predicted positive

N/A: Participant excluded

Table 3: Overall Test Comparison.

	PIT +	PIT -
PLHT +	6	4
PLHT -	3	23

$$\begin{aligned}
 \text{Percent agreement} &= (a + d) / (a + b + c + d) * 100 \\
 &= (6 + 23) / (6 + 4 + 3 + 23) * 100 \\
 &= 81\%
 \end{aligned}$$

Kappa = 0.50 with 95% CI (0.18, 0.82)

Table 4: Part I Comparison.

	PIT (pain)	PIT (no pain)
PLHT (pain)	12	3
PLHT (no pain)	3	18

$$\begin{aligned} \text{Percent agreement} &= (a + d) / (a + b + c + d) * 100 \\ &= (12 + 18) / (12 + 3 + 3 + 18) * 100 \\ &= 83\% \end{aligned}$$

Kappa = 0.66 with 95% CI (0.41, 0.91)

Table 5: Part II Comparison.

	PIT (pain)	PIT (no pain)
PLHT (pain)	5	2
PLHT (no pain)	2	27

$$\begin{aligned} \text{Percent agreement} &= (a + d) / (a + b + c + d) * 100 \\ &= (5 + 27) / (5 + 2 + 2 + 27) * 100 \\ &= 89\% \end{aligned}$$

Kappa = 0.65 with 95% CI (0.33, 0.96)

DISCUSSION

In clinical settings, the terms *instability* and *hypermobility* are sometimes used synonymously. Further, there is often a discrepancy in the use of the terms depending on the type of clinician. In the spine, orthopaedic specialists use the term *instability* when describing a segment that has excessive vertebral

translation or rotation.¹³ Currently, radiographic measurement of sagittal translation and rotation is the gold standard for diagnosing instability.¹⁴ Hypermobility is generally determined through clinical evaluation.

With the definitions of *instability* and *hypermobility* considered, the PIT is in reality, more likely measuring hypermobility and not instability as the name suggests. A literature search revealed there are currently no studies examining modified PITs. The research does support the positive PIT is an indication that stabilization intervention is warranted¹⁵. It in truth does not identify instability nor hypermobility. Similarly, the PLHT does not assess true hypermobility but rather the indication that stabilization investigation and intervention is warranted.

The purpose of this study was to perform a preliminary evaluation of the validity of the Prone Lumbar Hypermobility Test compared to an established standard, the Prone Instability Test. The PLHT is proposed as a favourable alternative to the PIT that is more suitable for a wider population due to the modified patient positioning. Having the entire body supported on the table reduces the moment arm from which the patient must lift their lower limbs, decreasing demands on contracting muscles. This in turn will decrease the load placed on the patient's spine. The positioning for the PLHT is particularly advantageous to patients who are deconditioned and/or in pain, making the position for part II of the PIT unattainable, and therefore, reducing applicability of the test.

An algometer was used to apply pressure to the spinous process to reduce potential inconsistencies between tests. Use of the algometer allows the test administrators to standardize the amount of force applied. A study was completed using force plates to determine the validity and reliability of algometry.⁹ It was concluded that the tool is valid since values between the algometer and the force plate were highly correlated. The study also concluded that the use of an algometer can produce reliable results if the test administrator was experienced and had practice⁹. The test administrators in this study were experienced and trained in the use of the algometer.

The PLHT, which showed only modest agreement with the PIT with agreement statistic of $\kappa=0.50$ 95%CI (0.18, 0.82) below the minimum acceptable cut-off of 0.60 suggested by Streiner and Norman¹² is proposed as an effective alternative to the PIT particularly for deconditioned and chronic pain populations, due to the modified patient positioning. The PLHT is faster and easier to administer than the PIT, therefore, practicing clinicians may be more inclined to utilize this test. The PLHT can be seen as a predictive screening test which can be

utilised with virtually every low back pain patient. It requires less time and effort on behalf of the patient and clinician. Tests such as the PLHT clinically categorize patients allowing clinicians to identify which patients would benefit from stabilization procedures.

The PLHT is suggested as an alternative to the PIT because it is suitable for a wider population due to the modified patient positioning. Having the entire body supported on the table reduces the moment arm from which the patient must lift their lower limbs, decreasing demands on contracting muscles. For example, the participant that was excluded from the study due to pain from part I of PIT may have been able to proceed with the PLHT, which requires less load on the spine. Unfortunately, this was not tested because the participant was relieved from the study due to increased pain from getting into the relaxed position of the PIT.

For statistical reasons described in the methodology, an adequate sample size was determined to be 25-42 participants. The sample size used in this study should be deemed adequate since 37 participants were recruited and 36 participants were included. Of the 36 participants included, 19 were categorized as predicted positive (predicted to have a positive PIT/PLHT). The other 17 participants were categorized as predicted negative (predicted to have a negative PIT/PLHT). Of the 19 predicted positive participants, six had a positive PIT and PLHT result. This may indicate that the screening process for the predicted positive population could have been improved. For future studies, it is imperative to do a thorough screening to have a test population that equally represents both predicted positive and predicted negative populations.

The results of this study may suggest PLHT as a valid test as an alternative to the PIT; however, there are some limitations and biases in the methodology (which will be discussed later). It is suggested that the PLHT has potential to be a good initial screening test. It is hypothesized to have the ability to detect individuals who would benefit from spinal stabilization interventions. If the PLHT is positive, the clinician can move forward with the prescription of stabilization exercises. Stabilization exercises have been shown to be effective for patients with a positive PIT¹⁵. Confirmed hypermobility could also indicate a possible contraindication for manipulation¹⁶. If there are no improvements from stabilization exercises, the patient could be referred for flexion/extension radiographs to assess for instability and in severe cases, consider other interventions.

This study was approved by the Canadian Memorial Chiropractic College (Toronto, Ontario) Research Ethics Board. This study is a comparison between

two minimally invasive orthopaedic tests, as such, the participants were exposed to minimal risk (equivalent to a physical examination). Besides the participant that was excluded, there were no side effects reported by the subjects who participated in the study.

Limitations

The randomization used detracted from the usual clinical methodology for this test. A more practical approach would have been to randomize the order of PIT and PLHT tests and perform part 1 and 2 of the full test followed by a washout period to allow subjects to fully recover from the test procedure and then performance of the other test to establish a true comparison of the two tests in their usual application.

Another limitation to this study is that three clinicians were used to perform the procedures instead of just one clinician. This was in order to generate a broader sample selection. Although the clinicians were equally trained in the procedures of the study, there may have been inter-rater inconsistencies. Future studies may consider measuring inter-rater reliability.

A further limitation to this study is the subjective nature of the outcome measure used where patients verbally rated “pain” or “no pain”. Application of EMG measurements of muscle activation in follow up studies could reduce subjectivity. EMG is an established method used in spinal pain research¹¹.

Use of the algometer also limits the results of this study. In clinical practice, the test administrator would use a thumb contact to apply the PA pressure. The contact surface of the algometer used in this study is significantly less padded compared to the hand contact. It is fair to assume that the algometer could alter perceived pain and produce different results in practice. Future studies may consider the use of force sensing table technology to standardize the amount of pressure used in testing so that the test administrator could more closely replicate the test as it would be in a clinical setting, using the clinician’s thumb instead of an algometer¹⁷.

It is important to note that with a sample size of 36, the precision on the kappa statistics is modest at best. This is evidenced by the wide confidence intervals of κ that can range from 0 to 1 and in this case the confidence interval for main finding is quite large (0.18, 0.82)

CONCLUSION

Although the Prone Instability Test is a clinically effective orthopaedic test for determining the benefit of stabilization exercises, it may not be appropriate for certain populations. Chronic low back pain, elderly, and deconditioned patients may be unable to maintain proper positioning with the PIT; therefore, the Prone Lumbar Hypermobility Test is a promising alternative screening test, which is easier to use for both the patient and clinician. This study found that the PLHT is valid in identifying negative results in the predicted negative population, as well as positive results in the predicted positive population - and is therefore comparable to the PIT in identifying patients who would benefit from stabilization exercises.

The identification of patients who have positive testing would benefit from modifying therapeutic interventions. It is likely that manipulation should be avoided and stabilization the main goal. The stabilization can be provided by bracing and neutral exercises, prolotherapy, PRP and stem cell interventions, based upon the degree and hypermobility/instability and patient/clinician preference. Further research is required to identify which stabilization intervention is superior.

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